

Everolimus as maintenance therapy in advanced neuroendocrine neoplasms: results from the MAVERIC phase II trial

Lorenzo Antonuzzo^{§,1,2,*,}, Daniele Lavacchi¹, Francesca Spada³, Riccardo Marconcini⁴, Fabio Gelsomino⁵, Vito Amoroso⁶, Federica Cosso^{2,}, Elisa Pellegrini¹, Federico Scolari⁷, Clotilde Sparano^{7,}, Giulia Massaro², Elisa Giommoni¹, Luca Messerini², Daniele Rossini^{1,2}, Marco Brugia¹, Francesco Di Costanzo¹, Luca Boni^{8,}, Massimo Milione⁹, Serena Pillozzi^{†,7}, Nicola Fazio^{‡,3,}, on behalf of the Gruppo Oncologico Italiano di Ricerca Clinica (GOIRC)

¹Oncology Unit, Careggi University Hospital, Florence 50134, Italy

²Department of Experimental Clinical Medicine, University of Florence, Florence 50134, Italy

³Division of Gastrointestinal Medical Oncology and Neuroendocrine Tumors, European Institute of Oncology (IEO), IRCCS, Milan 20141, Italy

⁴Medical Oncology, Dipartimento di Oncologia Medica, AOU Pisana—Stabilimento di Santa Chiara, Pisa 56126, Italy

⁵Department of Oncology and Hematology, Division of Oncology, University Hospital of Modena, Modena 41125, Italy

⁶Medical Oncology Unit, Azienda Socio-Sanitaria Territoriale (ASST) Spedali Civili di Brescia, Genova 16132, Italy

⁷Department of Experimental and Clinical Biomedical Sciences, University of Florence, Genova 16132, Italy

⁸Clinical Epidemiology Unit, IRCCS Ospedale Policlinico San Martino, Genova 16132, Italy

⁹First Pathology Unit, Department of Pathology and Laboratory Medicine, Fondazione IRCCS Istituto Nazionale dei Tumori, Milan 20133, Italy

*Corresponding author: Oncology Unit, Careggi University Hospital, Viale Brambilla, 3, 50134, Florence, Italy (lorenzo.antonuzzo@unifi.it).

†Principal Investigator

‡These authors contributed equally to this work.

Abstract

Background: Neuroendocrine neoplasms (NEN) are a heterogeneous disease and chemotherapy (CT) represents the standard first-line treatment for those with a Ki-67 index >20%.

Methods: MAVERIC is a randomized, multicenter, non-comparative phase II study including patients with metastatic gastroenteropancreatic (GEP-NEN) or large-cell neuroendocrine carcinoma (LCNEC) (Ki-67 20%-55%) according to the 2010 WHO grading system and at least stable disease after first-line CT. Patients were randomized (2:1) to everolimus 10 mg/day or observation until progression or treatment intolerance. The primary endpoint was progression-free survival (PFS); secondary endpoints included overall survival (OS) and safety.

Results: Between November 2015 and June 2022, 30 patients were enrolled across 5 Italian centers, with 20 assigned to everolimus and 10 to observation. The analysis included 29 patients (52% GEP-NEN, 48% LCNEC). Median (m)PFS was 11.8 months in the everolimus arm and 1.8 months in the control arm. MOS was similar between arms (38.3 and 38.2 months). The subgroup of 11 patients with GEP-neuroendocrine tumor (NET) grade 3 treated with everolimus showed an mPFS of 19.9 months and mOS of 48.1 months. Most common adverse events (AEs) were mucositis (80%), dyslipidemia (55%), fatigue (45%), pneumonitis (40%), and peripheral edema (35%). Grade 3 AEs occurred in 70% of patients; no grade 4 AEs were observed.

Conclusions: The MAVERIC trial demonstrated encouraging clinical benefit of everolimus in metastatic GEP-NEN and LCNEC (Ki-67 20%-55%) following first-line CT. Toxicity was consistent with the known safety profile of everolimus. This strategy was particularly effective in GEP-NEN patients and warrants further investigation. ClinicalTrials.gov identifier: NCT02687958 (<https://clinicaltrials.gov/study/NCT02687958>).

Key words: metastatic neuroendocrine neoplasms; everolimus; maintenance therapy.

Lessons Learned

- Everolimus demonstrated encouraging clinical benefit in patients with metastatic GEP-NEN or LCNEC with Ki-67 index between 20% and 55% as maintenance treatment following standard chemotherapy with a manageable safety profile
- In NENs with a Ki-67 index >20% the optimal CT regimen and duration remain undefined, and no maintenance therapy is currently indicated.

Background

Emerging evidence has progressively challenged the concept of high-grade neuroendocrine neoplasm (NENs), and in responsive patients after first-line chemotherapy (CT) a standard approach has not been defined yet.^{1,2}

Everolimus, an oral inhibitor of mammalian target of rapamycin (mTOR), could have a potential role in this setting although limited data are available.³

Trial information

MAVERIC was a multicenter, randomized, open-label, non-comparative, phase II clinical trial performed at 5 Italian institutions. The main inclusion criteria were an age of 18 years or older; histologically or cytologically confirmed, advanced, non-functioning, gastroenteropancreatic (GEP)-NEN or large-cell neuroendocrine carcinoma (LCNEC) of lung origin with Ki-67 index ranging from 20% and 55% in accordance with the 2010 World Health Organization (WHO) classification⁴ (Figure 1); measurable target lesions according to the Response Evaluation Criteria in Solid Tumors (RECIST) guideline⁵; evidence of stable disease (SD), partial response (PR) or complete response after first-line CT according to clinical practice; Eastern Cooperative Oncology Group (ECOG) performance status ≤ 2 ; adequate organ function. The main exclusion criteria were well differentiated (WD) NEN with Ki67 < 20% or neuroendocrine carcinoma with Ki-67 > 55%; functional disease; concurrent mutually exclusive drugs with everolimus; presence of brain metastases. Histology performed before 2017 has been revised and classified according to the latest WHO classification by each reference center.

The study was done in accordance with Good Clinical Practice guidelines, the ethical principles of the Declaration of Helsinki, and local regulatory requirements. (EudraCT registration number is 2014-003951-72). All patients provided written informed consent before enrolment.

The primary endpoint was radiology-assessed progression-free survival (PFS), defined as the time between randomization and the first evidence of progressive disease (PD) or death, whichever occurred first. Documentation of PD was defined as per RECIST version 1.1 criteria⁵ based on investigator-reported evaluation. Overall survival (OS), defined as the time from

randomization to the date of death due to any cause, and safety were the main secondary endpoints (Table 1).

Adverse events (AE) were assessed as per National Cancer Institute Common Terminology Criteria for AE (CTCAE) version 4.03.

Drug information

Patients were randomly assigned with a 2:1 ratio to maintenance therapy with everolimus 10mg per day (experimental arm) or to observation (control arm) until progression or toxicity (Table 2).

Randomization was done using a centralized web-based system and allocation sequence was masked and generated at the Clinical Trials Coordinating Center, Oncology Unit, Careggi University Hospital (Florence, Italy).

The time between the last cycle of CT and randomization must not exceed 28 days.

All patients who underwent randomization were assessed for efficacy by radiological imaging every 12 weeks. Visits and study drug dispensation occurred in cycles, with each cycle equaling 28 days. Dose reductions and treatment interruption were allowed for patients who did not tolerate therapy or to manage adverse events that were judged to be related to study treatment. Two dose reductions were allowed: from 10 mg to 5 mg per day and, subsequently, to 5 mg every other day.

Palliative radiotherapy was allowed.

Table 1. Trial information.

Trial information	
Disease	Neuroendocrine neoplasm
Stage of disease/treatment	Metastatic/advanced
Prior therapy	1 prior regimen achieving at least stable disease according to RECIST v1.1 criteria.
Type of study	Phase II
Primary endpoints	Progression-free survival
Secondary endpoints	Overall survival and safety
Exploratory analysis	Tumoral tissue and blood samples were collected for further investigations exploring prognostic and predictive factors.

Table 2. Drug information.

Drug information	
Arm	Experimental
Generic/working name	Everolimus
Company name	Novartis Pharmaceuticals Corporation
Drug type	Protein kinase inhibitor
Drug class	mTOR (mammalian target of rapamycin) kinase inhibitors
Dose	10 mg
Route	Oral
Schedule of administration	Once daily

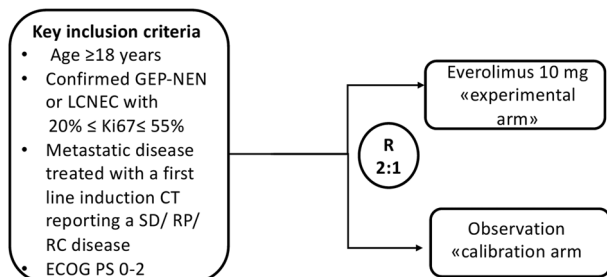


Figure 1. Flow chart of MAVERIC trial. Patients with metastatic GEP-NEN or LCNEC (Ki-67 20%-55%) according to the 2010 WHO classification achieving at least SD after first-line CT that were randomized (2:1) to everolimus 10 mg/day or observation. GEP-NEN, gastroenteropancreatic neuroendocrine neoplasm; LCNEC, lung large cell neuroendocrine carcinoma; SD, stable disease; RP, partial response; RC, complete response; R, randomization.

Statistical analysis

This is an open-label phase II study not powered for statistical comparison between experimental and observation arm. A control arm was included to provide contextual and calibration data due to lack of historical data for comparison in this subgroup of patients.

Survival analyses were descriptive. Kaplan–Meier methods were used to estimate median (m)PFS and OS with corresponding 95% CIs. No formal hypothesis testing between groups was performed. All statistical analyses were conducted using the R software v4.3.0 and the packages survival v3.5-5, survminer v0.4.9, and dplyr v1.1.2, and SAS (version 9.4).

Patient characteristics

From November 2015 to June 2022, 30 patients with metastatic, non-functioning, NEN from GEP or lung primary sites and Ki-67 index 20%-55% were enrolled and randomly assigned to everolimus (20 patients) or observation (10 patients) (Figure 2). One patient was not included in the analysis for protocol deviation having a Ki-67 > 55%. Patients' baseline characteristics are reported in Table 3. The primary site was

GEP in 52%, mostly pancreatic, while 48% of lung origin that resulted equally distributed in the 2 arms. WD neuroendocrine tumors (NETs) were more common in the experimental arm (85% of patients) than in the control arm (55%). Prior first-line CT was platinum-based in 13 patients and non-platinum-based in 16 patients, with 4 median number of CT cycles in both arms. A PR was achieved in 67% of patients in the control arm, with an equal distribution among platinum and non-platinum-based CT and in 35% of patients in the experimental arm, 60% with non-platinum-based CT.

Primary assessment method

After a median follow-up of 80 months, mPFS was 11.8 months (95% CI 4.1-22.8) in the experimental and 1.8 months (95% CI 0.9-19.9) in the control arm (Figure 3 and Table 4). MOS were 38.3 months (95% CI 14.9-59.6) and 38.2 months (95% CI 3.1-64.5), respectively.

In the 13 GEP-NEN patients treated with everolimus, the mPFS was 19.9 months (95% CI 11.0-24.4) and the mOS 48.1 months (95% CI 34.5-NA). Among the 7 patients with LCNEC, mPFS was 7.8 months (95% CI 2.0-29.0) (Figure 4) and mOS 14.6 months (95% CI 3.8-59.6).

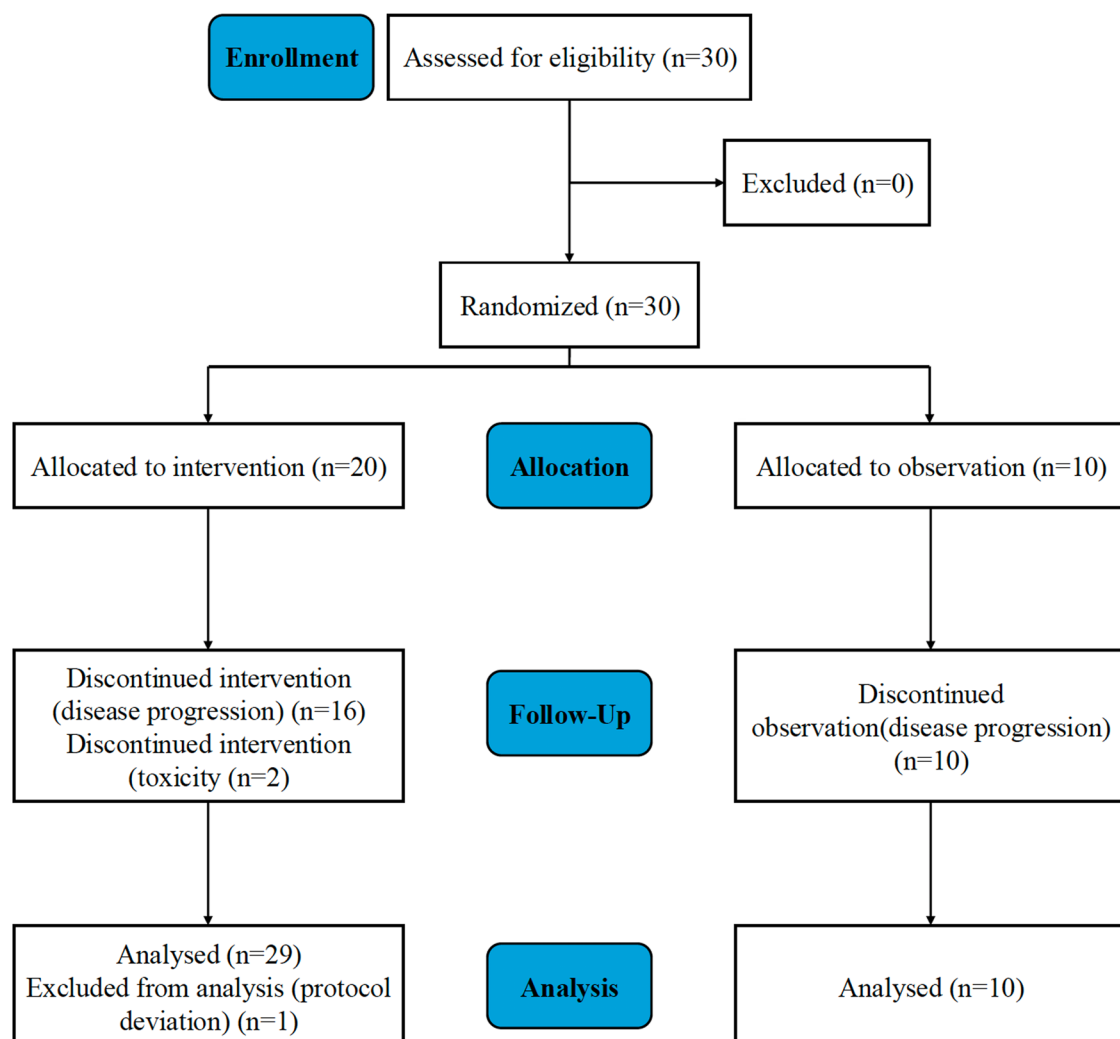


Figure 2. Consort flow diagram.

Table 3. Patient characteristics.

Patient characteristics	Entire cohort (n=29)	Treatment arm (n=20)	Control arm (n=9)
Sex			
Male	10 (35%)	5 (25%)	5 (55%)
Female	19 (65%)	15 (75%)	4 (45%)
Median age (years)	63 (38-84)	64 (38-81)	61 (52-84)
ECOG performance status			
0-1	28 (97%)	20 (100%)	8 (89%)
2	1 (3%)	0 (0%)	1 (11%)
Prior first-line surgery			
Yes	8 (28%)	6 (30%)	2 (22%)
No	21 (72%)	14 (70%)	7 (78%)
Primary site			
Lung	14 (48%)	7 (35%)	7 (78%)
GEP	15 (52%)	13 (65%)	2 (22%)
Histologic grade			
<i>Well differentiated</i>	22 (76%)	17 (85%)	5 (56%)
GEP origin (8 pancreatic, 3 small bowel, and 2 rectum origin)	13 (45%)	11 (55%)	2 (22%)
Lung origin	9 (31%)	6 (30%)	3 (33%)
<i>Poorly differentiated</i>	7 (24%)	3 (15%)	4 (44%)
GEP origin (pancreatic)	2 (7%)	2 (10%)	0 (0%)
Lung origin	5 (17%)	1 (5%)	4 (44%)
2010 WHO grading system ^a			
GEP NEC G3	15 (52%)	13 (65%)	2 (22%)
LCNEC	14 (48%)	7 (35%)	7 (78%)
2017 WHO grading system ^b			
GEP NET G3	13 (45%)	11 (55%)	2 (22%)
GEP NEC G3	2 (7%)	2 (10%)	0 (0%)
LCNEC	14 (48%)	7 (35%)	7 (78%)
%Ki67			
20-29	12 (41%)	9 (45%)	3 (33%)
30-55	17 (59%)	11 (55%)	6 (67%)
Metastasis sites			
1	7 (24%)	7 (35%)	0 (0%)
>1	22 (76%)	13 (65%)	9 (100%)
First-line chemotherapy			
Platinum-based (carboplatin + etoposide, cisplatin + etoposide, cisplatin, FOLFOX)	13 (45%)	8 (40%)	5 (56%)
Non-platinum-based (capecitabine + temozolomide, capecitabine, temozolomide)	16 (55%)	12 (60%)	4 (44%)
First-line chemotherapy response			
SD	16 (55%)	13 (65%)	3 (33%)
PR	13 (45%)	7 (35%)	6 (67%)
Functional staging positivity			
Yes—Octreoscan	2 (10%)	1 (7%)	1 (17%)
Yes—PET (68) Gallium	13 (65%)	9 (64%)	4 (66%)
No	5 (25%)	4 (29%)	1 (17%)
Not done	9	6	3
Metabolic staging positivity			
Yes	14 (88%)	7 (78%)	7 (100%)
No	2 (12%)	2 (22%)	0 (0%)
Not done	13	11	2
Concomitant somatostatin analog			
Yes	8 (28%)	7 (35%)	1 (11%)
No	21 (72%)	13 (65%)	8 (89%)

Data are n (%) or median (range). Percentages are calculated among patients with non-missing data.

^aPatients assigned according to the 2010 WHO grading system.

^bPatients assigned according to the updated 2017 WHO grading system.

GEP-NENs specimens were reviewed by an expert pathologist in accordance with the 2017 WHO classification and 86% were reclassified as NET G3. The subgroup of 11 patients with

GEP NET G3 treated with everolimus showed an mPFS of 19.9 months (95% CI 11.4-24.4) and mOS of 48.1 months (95% CI 38.3-67.8) (Figure 5).

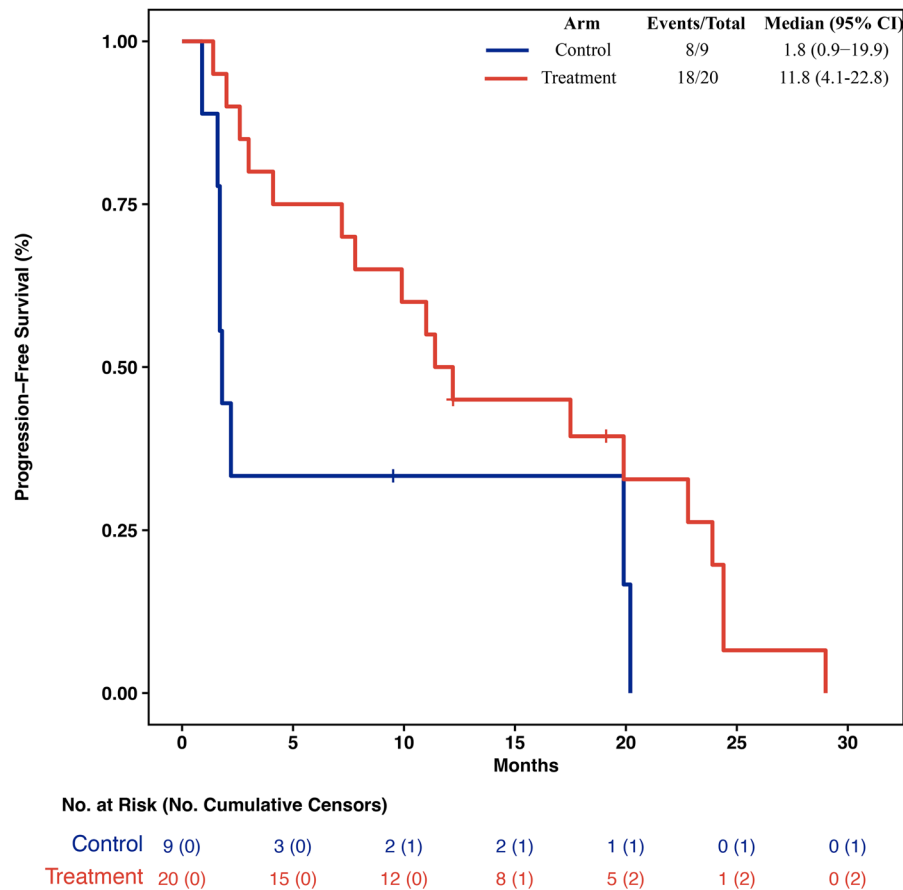


Figure 3. Kaplan–Meier curves and risk table of progression-free survival (PFS) in the control and treatment arm. NA, not reached.

Table 4. Primary assessment method.

Primary assessment method	
Title	Disease control (median progression-free survival).
Number of patients screened	30
Number of patients enrolled in the experimental arm	20
Number of patients evaluable for toxicity	19
Number of patients evaluated for efficacy	19
Evaluation method	Objective tumor assessments evaluated by imaging every 12 weeks of the initial randomized period according to RECIST v1.1 criteria.
Outcome notes	Kaplan–Meier graph is provided in Figures 3

General toxicity profile

Drug-related AEs in the experimental arm were in line with the known safety profile of everolimus and were mostly grade (G) 1 or 2 ([Table 5](#)). The most common AEs reported in patients receiving everolimus were mucositis, dyslipidemia, fatigue, pneumonitis and peripheral edema. Globally, 14 patients (70%) experienced a G3 AE and 2 of them were classified as serious AE with consequent discontinuation of treatment ([Table 6](#)). In 65% of patients, at least one dose reduction was needed. No G4 AEs occurred.

Discussion

The MAVERIC trial focused on a particular subgroup of high-grade NENs, with Ki-67 between 20% and 55%,

including both well and poorly differentiated NENs defined according to the WHO 2010 classification criteria.

Current guidelines indicate CT as first-line treatment in high-grade NENs although evidence remains limited to specific schedule or duration, particularly for advanced GEP NET G3.⁶ Similarly, clinical data on everolimus in this setting are also limited. The association of TEM and everolimus was assessed as upfront therapy in GEP-NET G3 and GEP-NEC reporting an mPFS of 12.6 months and 3.4 months, respectively, which are consistently in line with MAVERIC efficacy outcomes, with a comparable toxicity profile.⁷

Notably, unlike Morken’s study, MAVERIC trial evaluated everolimus as a maintenance treatment following first-line CT, which, to our knowledge, represents the first in this distinct patient population. Everolimus prolonged PFS suggesting that

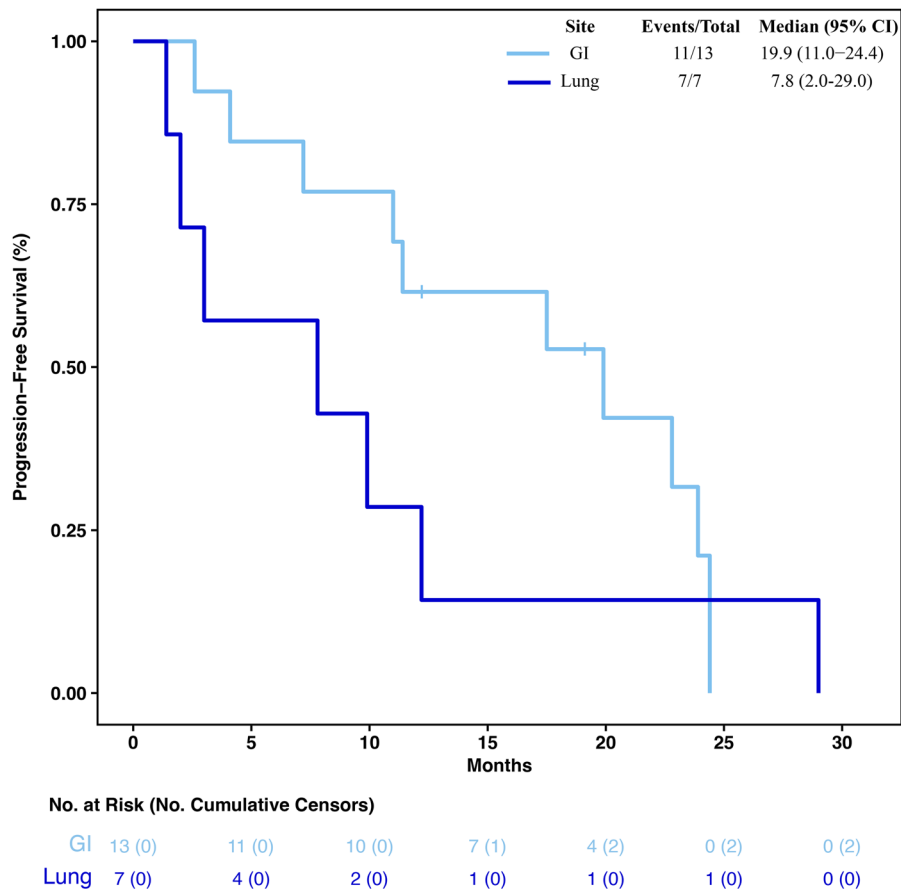


Figure 4. Kaplan–Meier curves and risk table of progression-free survival (PFS) in the GI and lung subgroups of the treatment arm. GI, gastrointestinal; NA, not reached.

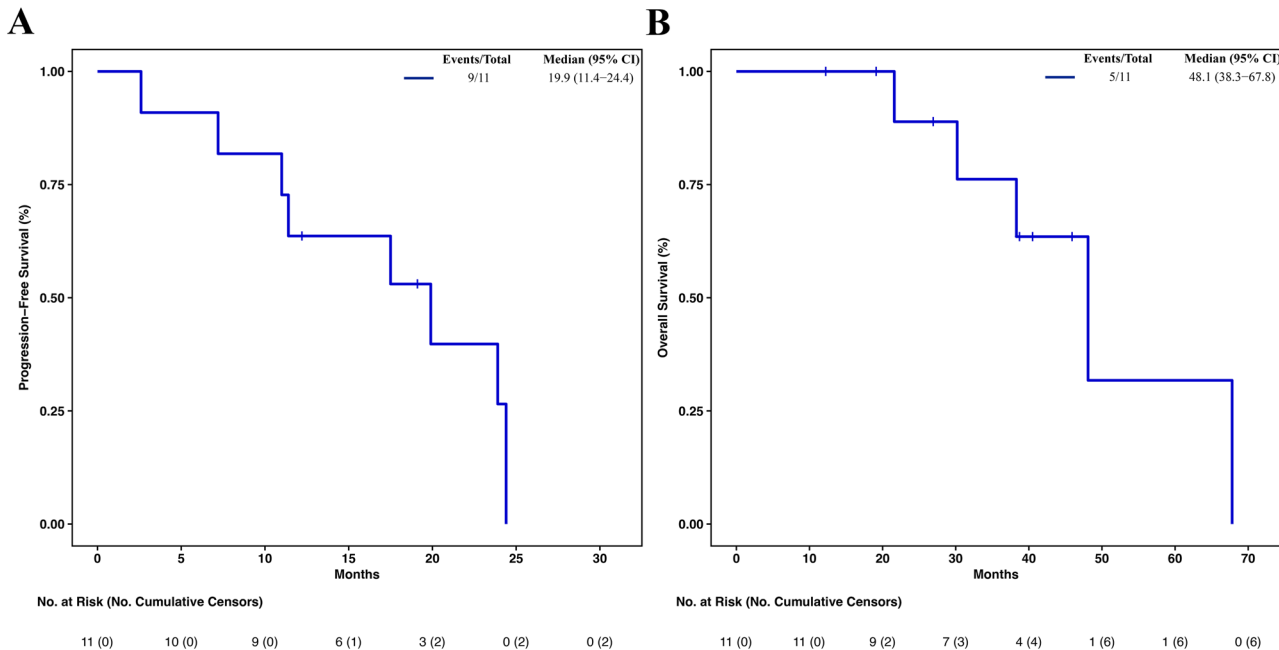


Figure 5. Kaplan–Meier curves and risk tables of progression-free survival (PFS) (A) and overall survival (OS) (B) in the GI NET G3 population of the treatment arm. GI, gastrointestinal; NET, neuroendocrine tumors; NA, not reached.

Table 5. Drug-related adverse events in at least 10% of patients.

Drug-related adverse events in at least 10% of patients		
Adverse event	Grade 1 or 2 no. of patients (%)	Grade 3 no. of patients (%)
Mucositis	12 (60)	4 (20)
Dyslipidemia	9 (45)	2 (10)
Asthenia/fatigue	8 (40)	1 (5)
Pneumonitis	7 (35)	1 (5)
Peripheral edema	6 (30)	1 (5)
Diarrhea	6 (30)	0 (0)
Neutropenia	5 (25)	2 (10)
Anemia	5 (25)	1 (5)
Thrombocytopenia	5 (25)	0 (0)
Nausea	4 (20)	0 (0)
Hyperglycemia	3 (15)	1 (5)
Xerostomia and xerodermia	3 (15)	0 (0)
Dysgeusia	3 (15)	0 (0)
Leucopenia	3 (15)	0 (0)
Cough	3 (15)	0 (0)
Hypertransaminasemia	3 (15)	0 (0)
Pyrexia	3 (15)	0 (0)
Rash	2 (10)	1 (5)
Pruritus	2 (10)	0 (0)
Hand-foot syndrome	2 (10)	0 (0)

Table 6. Serious adverse events.

Serious adverse events		
Dose level	Adverse event(s), grade	Attribution
Interruption	Mucositis G3	Possible
Interruption	Sepsis G3	Not related

a biological therapy could replace CT to keep a longer tumor response.

The apparent lack of OS benefit with everolimus in our study (ie, 38.3 vs 38.2 months) may reflect the high percentage of patients receiving second-line therapy in the control arm at the time of progression (7/9 patients, 78%). Considering the high-grade lung NEN, the further rarity of this subtype implies even more uncertainties. In our trial, 7 patients in the everolimus arm had LCNEC with an mPFS of 7.8 months and mOS of 14.6 months, confirming the worse outcome of this population. Recently, a retrospective series of carcinoids with Ki-67 > 20% has reported a favorable response to both temozolomide ($n = 12$, PFS 6.8 months) and everolimus ($n = 8$, PFS 12.1 months) regimes, indirectly supporting our findings.⁸

Considering the OS in high-grade NEN, the present cohort shows a prolonged mOS which results almost doubled compared to literature data.^{9,10} This survival gap could be affected by several factors, such as the good baseline prognostic characteristics, the restricted inclusion criteria, and the use of different treatment lines after disease progression.

In our study, several limitations should be recognized. Considering the rarity of these neoplasms, the enrollment lasted almost 7 years, and different primary sites were included; the WHO classification has been updated during the accrual period and consequentially most of LC-NECs (9/14) were retrospectively reclassified as WD. In WD lung or GI NETs, although

limited to progressive disease, the activity of everolimus has been also reported in RADIANT-4, which is consistent with our results.³ Moreover, during study's accrual, first-line 177Lu-DOTATATE data for high G2 and G3 SSTR+ GEP NETs was not available.¹¹ Despite the reported limitations this study discloses several strengths: the therapeutic approach has shed light on a specific sequential treatment; although small, the population sample represents an authentic overview of advanced NEN, joining 2 different aggressive cohorts of high-grade lung and GEP-NEN, to explore the therapeutic benefits of the same treatment scheme.

In conclusion, the MAVERIC trial is a hypothesis generating study, showing that everolimus may be effective and safe in patients with advanced non-functional GEP-NEN and LCNEC with a Ki-67 index ranging from 20% and 55% as maintenance therapy after a first-line CT. This strategy was particularly effective for the GEP-NEN patients.

Acknowledgments

Novartis partly supported the trial providing everolimus for the whole study treatment of the experimental group but had no role in study design, data collection, data analysis, data interpretation, or writing of the report. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

Conflicts of interest

L.A.: Financial Interests, Personal, Advisory Board: AstraZeneca, Pfizer, Roche, Lilly, BMS, MSD, Merck, Amgen. F.S.: Financial Interests, Personal, Invited Speaker: Advanced Accelerator Applications, SAS SPA; Financial Interests, Personal, Writing Engagement: Ipsen, Merck, Advanced Accelerator Applications; Non-Financial Interests, Project Lead, Coordinator of neuroendocrine neoplasms guidelines: AIOM (Italian Association Of Medical Oncology); Non-Financial Interests, Leadership Role, I am member of Scientific Board and lead of neuroendocrine Neoplasms Guidelines: ITANET (Italian Association Of Medical Oncology). F.G.: Consulting/advisory relationship with Amgen, Merck, Bristol-Myers-Squibb, Pierre-Fabre, Gentili, Iqvia, Elma Research, Sthetos, Servier. D.R.: Speakers' Bureau: Amgen Pierre Fabre Bayer Takeda MSD. N.F.: Financial Interests, Personal, Advisory Board: Novartis, Merck, AAA, Hutchinson MediPharma, MSD; Financial Interests, Personal, Invited Speaker: AAA, Merck; Financial Interests, Institutional, Local PI: Astellas, MSD, Beigene, Incyte, Nucana, Ipsen, 4SC, Fibrogen; Financial Interests, Institutional, Research Grant: IPSEN, AAA, Merck; Non-Financial Interests, Other, Steering committee: SPARC Europe; Non-Financial Interests, Member of Board of Directors: ENETS; Non-Financial Interests, Other, Member of the GI and NET Faculties: ESMO; Non-Financial Interests, Other, Internal reviewer of NET guidelines: AIOM. All other authors have declared no conflicts of interest.

Data availability

The datasets generated and/or analyzed during the present study are available upon reasonable request to the corresponding author.

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